



**SAINT LOUIS UNIVERSITY**

Uniform Guidance Single Audit Report

Year ended June 30, 2020

(With Independent Auditors' Reports Thereon)

**SAINT LOUIS UNIVERSITY**  
Uniform Guidance Single Audit Report  
Year ended June 30, 2020

**Table of Contents**

	<b>Page(s)</b>
Independent Auditors' Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance With <i>Government Auditing Standards</i>	1–2
Independent Auditors' Report on Compliance for Each Major Federal Program; Report on Internal Control over Compliance; and Report on Schedule of Expenditures of Federal Awards Required by the Uniform Guidance	3–5
Schedule of Expenditures of Federal Awards	6–17
Notes to Schedule of Expenditures of Federal Awards	18–19
Schedule of Findings and Questioned Costs	20–25
Saint Louis University's audited consolidated financial statements, as of and for the year ended June 30, 2020, are separately attached hereto.	



KPMG LLP  
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## **Independent Auditors' Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance With *Government Auditing Standards***

The Board of Trustees  
Saint Louis University:

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the consolidated financial statements of Saint Louis University, which comprise the consolidated statement of financial position as of June 30, 2020, and the related consolidated statements of activities, and cash flows for the year then ended, and the related notes to the consolidated financial statements, and have issued our report thereon dated October 30, 2020.

### **Internal Control Over Financial Reporting**

In planning and performing our audit of the consolidated financial statements, we considered Saint Louis University's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the consolidated financial statements, but not for the purpose of expressing an opinion on the effectiveness of Saint Louis University's internal control. Accordingly, we do not express an opinion on the effectiveness of Saint Louis University's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

### **Compliance and Other Matters**

As part of obtaining reasonable assurance about whether Saint Louis University's consolidated financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.



**Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Saint Louis University's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Saint Louis University's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

KPMG LLP

St. Louis, Missouri  
October 30, 2020



KPMG LLP  
Suite 900  
10 South Broadway  
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**Independent Auditors' Report on Compliance for Each Major Federal Program; Report on Internal Control Over Compliance; and Report on Schedule of Expenditures of Federal Awards Required by the Uniform Guidance**

The Board of Trustees  
Saint Louis University:

**Report on Compliance for Each Major Federal Program**

We have audited Saint Louis University's compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of Saint Louis University's major federal programs for the year ended June 30, 2020. Saint Louis University's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

*Management's Responsibility*

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

*Auditors' Responsibility*

Our responsibility is to express an opinion on compliance for each of Saint Louis University's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. Code of Federal Regulations Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about Saint Louis University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of Saint Louis University's compliance.

*Opinion on Each Major Federal Program*

In our opinion, Saint Louis University complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2020.

*Other Matters*

The results of our auditing procedures disclosed instances of noncompliance which is required to be reported in accordance with the Uniform Guidance and which is described in the accompanying schedule of findings and questioned costs as items 2020-001 and 2020-002. Our opinion on each major federal program is not modified with respect to these matters.



Saint Louis University's response to the noncompliance finding identified in our audit is described in the accompanying schedule of findings and questioned costs. Saint Louis University is also responsible for preparing a corrective action plan to address each audit finding included in our auditors' report. Saint Louis University's response and corrective action plan were not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response or the corrective action plan.

### **Report on Internal Control over Compliance**

Management of Saint Louis University is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered Saint Louis University's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of Saint Louis University's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that have not been identified. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, we did identify deficiencies in internal control over compliance, described in the accompanying schedule of findings and questioned costs as items 2020-001 and 2020-002, that we consider to be significant deficiencies.

Saint Louis University's response to the internal control over compliance finding identified in our audit is described in the accompanying schedule of findings and questioned costs. Saint Louis University is also responsible for preparing a corrective action plan to address each audit finding included in our auditors' report. Saint Louis University's response and corrective action plan were not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response or the corrective action plan.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

### **Report on Schedule of Expenditures of Federal Awards Required by the Uniform Guidance**

We have audited the consolidated financial statements of Saint Louis University as of and for the year ended June 30, 2020, and have issued our report thereon dated October 30, 2020, which contained an unmodified opinion on those consolidated financial statements. Our audit was conducted for the purpose of forming an



opinion on the consolidated financial statements as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by the Uniform Guidance and is not a required part of the consolidated financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the consolidated financial statements. The information has been subjected to the auditing procedures applied in the audit of the consolidated financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the consolidated financial statements or to the consolidated financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the consolidated financial statements as a whole.

KPMG LLP

St. Louis, Missouri  
June 4, 2021

**SAINT LOUIS UNIVERSITY**  
 Schedule of Expenditures of Federal Awards  
 Year Ended June 30, 2020

Grant/Program Title Pass-Through Entity	Payment Source	CFDA Number	Award Number	FY2020 Expenditures	Awards Passed Through to Subrecipients	Subtotal By Program	Subtotal By Agency	Subtotal By Cluster/ Other Programs
Student Financial Assistance Cluster								
84 Department of Education								
Federal Supplemental Educational Opportunity Grants	Direct	84.007	P007A192375	\$ 1,296,815				
Federal Supplemental Educational Opportunity Grants	Direct	84.007	Emergency Funding	<u>191,800</u>				
Total for CFDA Number 84.007				1,488,615				
Federal Work-Study Program								
	Direct	84.033	P033A182375	180,168				
	Direct	84.033	P033A192375	<u>902,337</u>				
Total for CFDA Number 84.033				1,082,505				
Federal Perkins Loan Program								
	Direct	84.038	P038A022375	11,642,807				
Federal Pell Grant Program								
	Direct	84.063	P063P181755	8,328				
	Direct	84.063	P063P191755	<u>6,416,943</u>				
Total for CFDA Number 84.063				6,425,271				
Federal Direct Student Loans								
	Direct	84.268	P268K191755	306,759				
	Direct	84.268	P268K201755	<u>109,282,459</u>				
Total for CFDA Number 84.268				109,589,218				
Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)								
	Direct	84.379	P379T201755	3,764				
Total for Department of Education							<u>130,232,180</u>	
93 Department of Health and Human Services								
Nurse Faculty Loan Program (NFLP)								
	Direct	93.264	2 E01HP27037-03-00	232,021				
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students								
	Direct	93.342	E36HP24351-01-02	315,806				
	Direct	93.342	E26HP17948-01-01	<u>1,538,064</u>				
Total for CFDA Number 93.342				1,853,870				
Nursing Student Loans								
	Direct	93.364	E0AHP15402-01-00	16,436				
	Direct	93.364	E4CHP27328-04-00	<u>796,830</u>				
Total for CFDA Number 93.364				813,266				
Scholarships for Health Professions Students from Disadvantaged Backgrounds								
	Direct	93.925	5 T08HP30219-04-00	300,000				
Total for Department of Health and Human Services							<u>3,199,157</u>	
Total for Student Financial Assistance Cluster								<u>133,431,337</u>
Research and Development Cluster								
10 Department of Agriculture								
Agriculture and Food Research Initiative (AFRI)								
	Direct	10.310	2019-57012-29733	<u>60,570</u>				
Subtotal for Department of Agriculture -Direct				60,570				
Agriculture and Food Research Initiative (AFRI)								
	Pass-Through	10.310	SUBAWARD NO 2015-06396-01	2,397				
	Pass-Through	10.331	320915	3,096				
	Pass-Through	10.669	SUBAWARD NO. 00055530-01	<u>12,838</u>				
Subtotal for Department of Agriculture -Pass-Through				18,331				
Total for Department of Agriculture							<u>78,901</u>	
11 Department of Commerce								
Meteorologic and Hydrologic Modernization Development								
	Pass-Through	11.467	SUBAWD001883	<u>3,497</u>				
Subtotal for Department of Commerce -Pass-Through				3,497				
Total for Department of Commerce							<u>3,497</u>	
12 Department of Defense								
Military Medical Research and Development								
	Direct	12.420	W81XWH-18-10-307	366,076				
	Direct	12.420	W81XWH-16-1-0377	12,735				
	Direct	12.420	W81XWH1810140	106,875	4,637			
	Direct	12.420	W81XWH1910117	108,971	18,742			



**SAINT LOUIS UNIVERSITY**  
Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2020

Grant/Program Title Pass-Through Entity	Payment Source	CFDA Number	Award Number	FY2020 Expenditures	Awards Passed Through to Subrecipients	Subtotal By Program	Subtotal By Agency	Subtotal By Cluster/ Other Programs
	Direct	12.420	W81XWH-16-1-0236	\$ 308,051				
	Direct	12.420	W81XWH1810251	189,697				
Total for CFDA Number 12.420				<u>1,092,405</u>	<u>23,379</u>			
Subtotal for Department of Defense -Direct				1,092,405	23,379			
University of Portsmouth Higher Education Corporation	Pass-Through	12.UNK	W912HZ-19-2-004	41,095				
Utah State University Space Dynamics Laboratory	Pass-Through	12.UNK	SUBCONTRACT NUMBER CP0053704	135,642				
Total for CFDA Number 12.UNK				176,737				
Collaborative Research and Development	Pass-Through	12.114	AGREEMENT NO. 13000844-022	3,215				
Military Medical Research and Development	Pass-Through	12.420	AMEND 1, SUB 0001426800	41,766				
	Pass-Through	12.420	W81XWH2010006	29,315				
	Pass-Through	12.420	SUB #0001426800	(1)				
Total for CFDA Number 12.420				71,080				
Basic, Applied, and Advanced Research in Science and Engineering	Pass-Through	12.630	SUBAWARD NO 24096052	43,473				
Subtotal for Department of Defense -Pass-Through				294,505				
Total for Department of Defense					23,379		1,386,910	
15 Department of The Interior								
Earthquake Hazards Program Assistance	Direct	15.807	G15AC00041, SPPL # 0002	149,462				
U.S. Geological Survey_ Research and Data Collection	Direct	15.808	G19AC00406	67,048				
Subtotal for Department of The Interior -Direct				216,510				
Natural Resource Stewardship	Pass-Through	15.944	P0019499	10,459				
Subtotal for Department of The Interior - Pass-Through				10,459				
Total for Department of The Interior							226,969	
16 Department of Justice								
Immunological Profiling to Distinguish Virus (Monkeypox) Infection from (Smallpox)	Direct	16.UNK	DJF-16-1200-D-0001356	347,750				
Subtotal for Department of Justice -Direct				347,750				
National Institute of Justice Research, Evaluation, and Development Project Grants	Pass-Through	16.560	SUBAWARD NO. 19-S24	39,560				
Subtotal for Department of Justice - Pass-Through				39,560				
Total for Department of Justice								387,310
19 National Endowment for Democracy								
International Programs to Support Democracy, Human Rights and Labor	Direct	19.345	2020-0251	6,880				
	Direct	19.345	2018-0924	25,928				
Subtotal for National Endowment for Democracy -Direct and Total for CFDA Number 19.345				32,808				
Total for National Endowment for Democracy							32,808	
20 Department of Transportation								
Highway Planning and Construction	Direct	20.205	PROJECT NO. TR202010	18,863				
	Direct	20.205	PROJECT NO. TR202017	4,345				
Subtotal for Department of Transportation -Direct and Total for CFDA Number 20.205				23,208				
Highway Planning and Construction	Pass-Through	20.205	SUB# 087795-16556 GRNT D5823	43,482				
	Pass-Through	20.205	SUB# 087795-16576 GRNT D5821	37,788				
Subtotal for Department of Transportation -Pass-Through and Total for CFDA Number 20.205				81,270				
Total for Department of Transportation							104,478	
43 National Aeronautics and Space Administration								
Office of Stem Engagement (OSTEM)	Direct	43.008	80NSSC18K0637	35,111				
	Direct	43.008	SUBAWARD NO. 00050027-08	3,223				
Subtotal for National Aeronautics and Space Administration -Direct Total for CFDA Number 43.008				38,334				

**SAINT LOUIS UNIVERSITY**  
Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2020

Grant/Program Title Pass-Through Entity	Payment Source	CFDA Number	Award Number	FY2020 Expenditures	Awards Passed Through to Subrecipients	Subtotal By Program	Subtotal By Agency	Subtotal By Cluster/ Other Programs
Smithsonian Astrophysical Observatory	Pass-Through	43.UNK	SV3-83017	\$ 7,809				
Science	Pass-Through	43.001	C00051845-1	330				
Office of Stem Engagement (OSTEM)	Pass-Through	43.008	SUBAWARD NO. 00050027-04	15,166				
Subtotal for National Aeronautics and Space Administration -Pass-Through				23,305				
Total for National Aeronautics and Space Administration							61,639	
45 National Endowment of the Arts								
Promotion of the Humanities_Federal/State Partnership	Direct	45.129	GRANT # 2152	(654)				
Subtotal for National Endowment of the Arts -Direct				(654)				
Promotion of the Arts_Grants to Organizations and Individuals	Pass-Through	45.024	CASE NUMBER 0021839	(2,070)				
	Pass-Through	45.024	CASE NUMBER 00024079	15,000				
Total for CFDA Number 45.024				12,930				
Promotion of the Humanities_Office of Digital Humanities	Pass-Through	45.169	AWARD NUMBER HK-250616-16	(1,620)				
Subtotal for National Endowment of the Arts -Pass-Through				11,310				
Total for National Endowment of the Arts							10,656	
47 National Science Foundation								
Engineering	Direct	47.041	1634992	606				
	Direct	47.041	1934372	42,677				
	Direct	47.041	1919740	274,850				
Total for CFDA Number 47.041				318,133				
Mathematical and Physical Sciences	Direct	47.049	1465013	38,709				
	Direct	47.049	1904919	75,345				
	Direct	47.049	1900417	51,110				
	Direct	47.049	1900011	4,989				
	Direct	47.049	1906266	12,934				
Total for CFDA Number 47.049				183,087				
Geosciences	Direct	47.050	1661519	60,039				
	Direct	47.050	1760802	113,608				
Total for CFDA Number 47.050				173,647				
Computer and Information Science and Engineering	Direct	47.070	1907612	2,601				
	Direct	47.070	1614562	82,512				
	Direct	47.070	1618926	55,646				
	Direct	47.070	1909121	17,870				
	Direct	47.070	1908574	9,637				
	Direct	47.070	1647084	63,561				
	Direct	47.070	1836906	69,597				
	Direct	47.070	2002096	10,454				
	Direct	47.070	1829150	34,978				
Total for CFDA Number 47.070				346,856				
Biological Sciences	Direct	47.074	IOS-1253939	62,347				
	Direct	47.074	1916563	134,272				
	Direct	47.074	1564896	197,314				
	Direct	47.074	1724348	81,651				
	Direct	47.074	1656656	67,435				
	Direct	47.074	1920251	431,811				
	Direct	47.074	FELLOW ID 2017232757	46,000				
	Direct	47.074	FELLOW ID 2017237108	42,568				
	Direct	47.074	1656818	157,012	5,732			
	Direct	47.074	1546869	784,676	661,497			
	Direct	47.074	1759807	135,854				
Total for CFDA Number 47.074				2,140,940	667,229			

**SAINT LOUIS UNIVERSITY**  
 Schedule of Expenditures of Federal Awards  
 Year Ended June 30, 2020

Grant/Program Title Pass-Through Entity	Payment Source	CFDA Number	Award Number	FY2020 Expenditures	Awards Passed Through to Subrecipients	Subtotal By Program	Subtotal By Agency	Subtotal By Cluster/ Other Programs
Social, Behavioral, and Economic Sciences	Direct	47.075	1753308	\$ 80,508				
	Direct	47.075	1651100	102,515	16,995			
Total for CFDA Number 47.075				183,023	16,995			
Education and Human Resources	Direct	47.076	1644538	69,163				
	Direct	47.076	1564894	79,871				
	Direct	47.076	1845490	118,995				
	Direct	47.076	1625222	51,795				
	Direct	47.076	DRL-1420320	9,518				
	Direct	47.076	1906933	233				
Total for CFDA Number 47.076				329,575				
Total for National Science Foundation-Direct				3,675,261	684,224			
Engineering	Pass-Through	47.041	171107621	(37)				
Mathematical and Physical Sciences	Pass-Through	47.049	RG173-G8	116,192				
	Pass-Through	47.049	SUBAWARD NO. 99634763	32,398				
Total for CFDA Number 47.049				148,590				
Biological Sciences	Pass-Through	47.074	IN4826944SLU	41,467	10,801			
	Pass-Through	47.074	SUBAWARD NO: 620-014-03	611				
	Pass-Through	47.074	1951332	13,547				
	Pass-Through	47.074	SUBAWARD NO. 2017-1452-01	82,962				
Total for CFDA Number 47.074				138,587	10,801			
Education and Human Resources	Pass-Through	47.076	1726621	138,680	68,179			
Integrative Activities	Pass-Through	47.083	C00052854-7	31,434				
	Pass-Through	47.083	SUBAWARD NO. C0005284-10	5,465				
Total for CFDA Number 47.083				36,899				
Total for National Science Foundation-Pass-Through				462,719	78,980			
Total for National Science Foundation					763,204		4,137,980	
64 Department of Veterans Affairs								
IPA Agreement - DG	Direct	64.UNK	6578D0092	9,479				
IPA Agreement - DG	Direct	64.UNK	6579D0061	9,479				
IPA Agreement - EC	Direct	64.UNK	554D95054	96,750				
IPA Agreement - JD	Direct	64.UNK	6579D0013	24,510				
IPA Agreement - JD	Direct	64.UNK	6579D0061	44,118				
IPA Agreement - MD	Direct	64.UNK	6579D0013	2,493				
IPA Agreement - SD	Direct	64.UNK	6579D0013	8,514				
IPA Agreement - SP	Direct	64.UNK	6579D0061	7,536				
IPA Agreement Between Donald Lawrence, SLU and the St. Louis VA	Direct	64.UNK	PO# 6579D0013	69,660				
IPA-Veteran Research and Education Foundation of St. Louis	Direct	64.UNK	321268	10,504				
Subtotal for Department of Veterans Affairs -Direct and Total for CFDA Number 64.UNK				283,043				
Total for Department of Veterans Affairs							283,043	
66 Environmental Protection								
Environmental Education Grants	Direct	66.951	97754101	3,435	2,726			
Subtotal for Environmental Protection -Direct				3,435	2,726			
Total for Environmental Protection					2,726		3,435	
81 Department of Energy								
Advanced Research Projects Agency - Energy	Pass-Through	81.135	22815-S	232,997				
	Pass-Through	81.135	SUBAWARD NO: 22827-S	78,606				
Subtotal for Department of Energy -Pass-Through and Total for CFDA Number 81.135				311,603				
Total for Department of Energy							311,603	

**SAINT LOUIS UNIVERSITY**  
 Schedule of Expenditures of Federal Awards  
 Year Ended June 30, 2020

Grant/Program Title Pass-Through Entity	Payment Source	CFDA Number	Award Number	FY2020 Expenditures	Awards Passed Through to Subrecipients	Subtotal By Program	Subtotal By Agency	Subtotal By Cluster/ Other Programs
84 Department of Education								
Education Research, Development and Dissemination	Direct	84.305	R305A170602	\$ 117,176	45,904			
Gaining Early Awareness and Readiness for Undergraduate Programs	Direct	84.334	DEPT.CONTRACT; 198719	39,314				
Subtotal for Department of Education -Direct				156,490	45,904			
Minority Science and Engineering Improvement	Pass-Through	84.120A	321125	45,542				
Gaining Early Awareness and Readiness for Undergraduate Programs	Pass-Through	84.334	ERS# 19313	48,891	4,976			
Subtotal for Department of Education -Pass-Through				94,433	4,976			
Total for Department of Education					50,880		250,923	
93 Department Of Health And Human Services								
10D-11-0033 Vaccine and Treatment Evaluation Units (VTEUs): Evaluation of Control Measures Against Diseases Other Than AIDS	Direct	93.UNK	HHSN272200800003C	(2)				
11-0033 Trial	Direct	93.UNK	HHSN272000018	9,573				
14-0107 Task Area C Option 1 Protocol Implementation	Direct	93.UNK	14-0107.B1C1D1.0023	10,963				
14-0112 Task Area D-7	Direct	93.UNK	14-0012.B1C1D1.0029	4,262				
15-0037-B1C1.0089 - Renal PK Study	Direct	93.UNK	15-0037.B1C1.0089	(2,830)				
16-0033 Zika in PR, Task Area C-1	Direct	93.UNK	16-0033.B1C1.0046	1,518				
16-0033 Zika in PR, Task Area C-3	Direct	93.UNK	16-0033.B1C1.0046	190,159	138,489			
16-0033.D1.0086	Direct	93.UNK	16-0033.D1.0086	44,736				
17-0012 Task C Option 4.2 (FluGen) FY.2018.A1B1C1D1.0077	Direct	93.UNK	FY.2018.A1B1C1D1.0077	196,633				
17-0012 Task D (FluGen) - FY2018-A1B1C1D1.0077	Direct	93.UNK	FY.2018.A1B1C1D1.0077	171,646				
17-0086 Task B Option 3A-Phase 1	Direct	93.UNK	FY.2018.A1B1C1D1.0077	42				
FY.2015.D1B1C1.0032 01-643 Substudy	Direct	93.UNK	FY.2015.D1.B1.C1.0032	(10,604)				
FY.2019.A1B1C1D1.0103 Task A Base	Direct	93.UNK	FY.2019.A1B1C1D1.0103	12,097				
Omics D-1b FY.2015.A3D12.0031	Direct	93.UNK	FY.2015.A3D12.0031	4,705				
Omics D-2a FY.2015.A3D12.0031	Direct	93.UNK	FY.2015.A3D12.0031	42,680				
Omics D-2b FY.2015.A3D12.0031	Direct	93.UNK	FY.2015.A3D12.0031	5,676				
Omics D-3a FY.2015.A3D12.0031	Direct	93.UNK	FY.2015.A3D12.0031	41,882				
Omics D-3b FY.2015.A3D12.0031	Direct	93.UNK	FY.2015.A3D12.0031	7,894				
Omics D-4a FY.2015.A3D12.0031	Direct	93.UNK	FY.2015.A3D12.0031	151,378				
Omics D-5a FY.2015.A3D12.0031	Direct	93.UNK	FY.2015.A3D12.0031	80,459				
Task Area D-6A FY.2017.A1B1C1D1.0048	Direct	93.UNK	FY.2017.A1B1C1D1.0048	494				
VTEU TO20 H7N9 Task C	Direct	93.UNK	FY.2017.B8C12.0080	170,016				
Zika 16-0017 D-4 Task Area	Direct	93.UNK	16-0017.B1C1D1.0043	124,891	1,218			
Total for CFDA Number 93.UNK				1,258,268	139,707			
Food and Drug Administration, Research	Direct	93.103	7259 PEDS MIGRAINE_STL01	10,354				
Oral Diseases and Disorders Research	Direct	93.121	1R01DE025141-01	320,913				
Graduate Psychology Education	Direct	93.191	D40HP33361-01-00	208,953	46,363			
Alcohol Research Programs	Direct	93.273	7R21AA025689-03	21,905				
Drug Abuse and Addiction Research Programs	Direct	93.279	1R01DA043811-01A1	511,021	142,099			
	Direct	93.279	1R01DA043543-01A1	524,086	51,959			
	Direct	93.279	7R01DA042195-04	42,694				
Total for CFDA Number 93.279				1,077,801	194,058			
National Center for Advancing Translational Sciences	Direct	93.350		19,000				
Cancer Treatment Research	Direct	93.395	1R01CA230512-01	582,209	314,661			
	Direct	93.395	1R21CA249341-01	40,709				
Total for CFDA Number 93.395				622,918	314,661			
Cancer Biology Research	Direct	93.396	1R01CA184379-01A1	167,455				
	Direct	93.396	4R01CA163808-05	(148)				
Total for CFDA Number 93.396				167,307				
Cardiovascular Diseases Research	Direct	93.837	2R01HL107794-06	212,051				
	Direct	93.837	5R01HL125424-04	277,641	100,601			
	Direct	93.837	2R01HL049413-20A1	256,941				
Total for CFDA Number 93.837				746,633	100,601			

**SAINT LOUIS UNIVERSITY**  
Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2020

Grant/Program Title Pass-Through Entity	Payment Source	CFDA Number	Award Number	FY2020 Expenditures	Awards Passed Through to Subrecipients	Subtotal By Program	Subtotal By Agency	Subtotal By Cluster/ Other Programs
Lung Diseases Research	Direct	93.838	1F30HL142193-01	\$ 30,269				
Blood Diseases and Resources Research	Direct	93.839	1R01HL139554-01A1	376,231				
Arthritis, Musculoskeletal and Skin Diseases Research	Direct	93.846	1R01AR0642821-01A1	41,402				
	Direct	93.846	1R01AR068438-01	<u>33,038</u>	<u>229</u>			
Total for CFDA Number 93.846				74,440	229			
Diabetes, Digestive, and Kidney Diseases Extramural Research	Direct	93.847	1R01DK120651-01	351,302				
	Direct	93.847	2U01DK061718-18	443,046	154,253			
	Direct	93.847	5R01DK081817-07	259,583				
	Direct	93.847	1R01DK113645-01	323,368				
	Direct	93.847	7R01DK103746-04	263,968				
	Direct	93.847	1R01DK118340-01	640,224	152,122			
	Direct	93.847	5U01DK082871-12	222,204	62,739			
	Direct	93.847	1R03DK121046-01	64,418				
	Direct	93.847	1K08DK098623-01A1	127,541				
	Direct	93.847	1R01DK110406-01	511,815	205,654			
	Direct	93.847	1F30DK118873-01A1	28,399				
	Direct	93.847	5U01DK061718-17	<u>64,985</u>	<u>12,387</u>			
Total for CFDA Number 93.847				3,300,793	587,155			
Extramural Research Programs in the Neurosciences and Neurological Disorders	Direct	93.853	1R01NS113257-01	321,676	1,237			
	Direct	93.853	1R21NS098104-01	26,645				
	Direct	93.853	1R01NS111120-01A1	74,996				
	Direct	93.853	1R21NS108138-01	202,504				
	Direct	93.853	1R21NS094854-01A1	<u>(115)</u>				
Total for CFDA Number 93.853				625,706	1,237			
Allergy and Infectious Diseases Research	Direct	93.855	1R21AI138096-01	170,546				
	Direct	93.855	1R21AI124672-01A1	22,771				
	Direct	93.855	1R03AI139835-01	73,354				
	Direct	93.855	1R21AI135311-01	125,288				
	Direct	93.855	1R01AI122669-01	339,819	104,412			
	Direct	93.855	1R21AI128270-01	3,861				
	Direct	93.855	1R21AI117128-01A1	29,386				
	Direct	93.855	2R01AI048391-09	<u>828,476</u>	<u>531,586</u>			
Total for CFDA Number 93.855				1,593,501	635,998			
Biomedical Research and Research Training	Direct	93.856	1R15GM129696-01A1	85,598				
	Direct	93.859	1R01GM115553-01	44,303	27,808			
	Direct	93.859	2R01GM115553-05	33,057				
	Direct	93.859	1R01GM108714-01A1	21,787				
	Direct	93.859	1R1GM129731-01	198,141	49,918			
	Direct	93.859	7R01GM130746-02	98,448				
	Direct	93.859	2R15GM084470-SA1	120,077				
	Direct	93.859	1R01GM129508-01	108,934	27,057			
	Direct	93.859	1R01GM109974-01A1	110,585				
	Direct	93.859	1R01GM108648-01	14,859				
	Direct	93.859	1R15GM128047-01	52,740				
	Direct	93.859	5T32GM008306-30	171,395				
	Direct	93.859	1R01GM121359-01A1	3,554				
	Direct	93.859	7R15GM126477-02	124,679				
	Direct	93.859	1R01GM112188-01A1	252,509				
	Direct	93.859	2R15GM085699-03	169,052				
	Direct	93.859	1R01GM134081-01	<u>88,160</u>	<u>13,836</u>			
Total for CFDA Number 93.856				1,697,878	118,619			
Child Health and Human Development Extramural Research	Direct	93.865	5UG3HD096929-02	693,336	314,734			
Aging Research	Direct	93.866	R21AG055604	334	334			
	Direct	93.866	1R01AG084069-01	295,366				

**SAINT LOUIS UNIVERSITY**  
Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2020

Grant/Program Title Pass-Through Entity	Payment Source	CFDA Number	Award Number	FY2020 Expenditures	Awards Passed Through to Subrecipients	Subtotal By Program	Subtotal By Agency	Subtotal By Cluster/ Other Programs
	Direct	93.866	7K01AG049075-02	\$ 36,115				
	Direct	93.866	4R00AG047339-03	265,458				
	Direct	93.866	1R01AG058714-01A1	361,420	1,166			
Total for CFDA Number 93.866				958,693	1,500			
Vision Research	Direct	93.867	1R01EY031008-01	73,195				
	Direct	93.867	1R01EY028914-01	309,261				
Total for CFDA Number 93.867				382,456				
Subtotal for Department of Health and Human Services-Direct				14,187,355	2,454,862			
Atox Bio, Ltd.	Pass-Through	93.UNK	321114	6,484				
Bavarian Nordic A/S	Pass-Through	93.UNK	POX-MVA-031	199,930				
Board of Trustees of Southern Illinois University	Pass-Through	93.UNK	716820-005	2,218				
Duke University	Pass-Through	93.UNK	A032499 / HHSN2722013000171	65,814				
Infectious Disease Research Institute	Pass-Through	93.UNK	Q20SUB005-SLU2018	104,624				
National Institute of Allergy and Infectious Diseases	Pass-Through	93.UNK	7N93019F00083-18-0011.B1C1.001	270,139				
	Pass-Through	93.UNK	15-0037.B1C1.0089	173,636				
	Pass-Through	93.UNK	75N93019F0083 - 18-0011	(12,359)				
	Pass-Through	93.UNK	75N93019F00131-18-0010.B1C1.01	38,411				
	Pass-Through	93.UNK	3019F00131-18-0010.B1C1.0120	686,561				
	Pass-Through	93.UNK	FY.2015.A3D12.0031	8,771				
	Pass-Through	93.UNK	FY.2019.A1B1C1D1.0103	468				
	Pass-Through	93.UNK	FY.2020.A1B1C1D1.0123	357,684				
Southwest Oncology Group Clinical Trials Initiative LLC	Pass-Through	93.UNK	SWOQ S0120	7				
Utah State University	Pass-Through	93.UNK	201267-608	417,657				
Total for CFDA Number 93.UNK				2,320,045				
Blood Disorder Program: Prevention, Surveillance, and Research	Pass-Through	93.080	AMEND #3 SUB #0011472K	4,034				
	Pass-Through	93.080	AMEND #4 SUB #0011472K	14,082				
	Pass-Through	93.080	SUB 0011472M AMEND 3	21,001				
Total for CFDA Number 93.080				39,117				
Maternal and Child Health Federal Consolidated Programs	Pass-Through	93.110	R40MC31761	18,272	12,061			
	Pass-Through	93.110	AMEND #1, SUB# 0012728E	(6,333)				
	Pass-Through	93.110	AMEND #2, SUB# 0012728E	20,410				
	Pass-Through	93.110	AMEND #3, SUB# 0012728E	648				
	Pass-Through	93.110	AMEND#1 SUB #0012728M	21,451				
	Pass-Through	93.110	SUB #0012728M AM #2	31,438				
Total for CFDA Number 93.110				85,886	12,061			
Environmental Health	Pass-Through	93.113	000507395-001	(3)				
	Pass-Through	93.113	000510923-001	114,401				
	Pass-Through	93.113	000514504-001	23,089				
	Pass-Through	93.113	UPDATE WITH FEA	64,229				
Total for CFDA Number 93.113				201,716				
Research Related to Deafness and Communication Disorders	Pass-Through	93.173	320468	930				
	Pass-Through	93.173	SUBAWARD NO. 809K130	16,825				
	Pass-Through	93.173	10041913025	500				
Total for CFDA Number 93.173				18,255				
Mental Health Research Grants	Pass-Through	93.242	SUBAWARD #804-2-01	241,590				
	Pass-Through	93.242	SUB# WU-20-387 PO#	1,661				
Total for CFDA Number 93.242				243,251				
Substance Abuse and Mental Health Services_Projcts of Regional and National Significance	Pass-Through	93.243	00050377-1	4,118				
	Pass-Through	93.243	31000 HU902 PO19007895-00	29,536				
	Pass-Through	93.243	321222	7,966				
Total for CFDA Number 93.243				41,620				
Drug Abuse and Addiction Research Programs	Pass-Through	93.279	SUB# WU-20-436 PO#	12,764				
Minority Health and Health Disparities Research	Pass-Through	93.307	TCCPP20	(184)				
National Center for Advancing Translational Sciences	Pass-Through	93.350	CTRP2019-11, PO#2934920G	33,936				
	Pass-Through	93.350	GRANT ID# PDSS105	1,326				
	Pass-Through	93.350	SUB #WU-18-20, PO# 2934127E	(3,012)				

**SAINT LOUIS UNIVERSITY**  
Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2020

Grant/Program Title Pass-Through Entity	Payment Source	CFDA Number	Award Number	FY2020 Expenditures	Awards Passed Through to Subrecipients	Subtotal By Program	Subtotal By Agency	Subtotal By Cluster/ Other Programs
	Pass-Through	93.350	SUB# WU-18-336, PO# 2935268E	\$ (640)				
	Pass-Through	93.350	SUB# WU-19-387, PO# 2935013G	42,020				
	Pass-Through	93.350	WU-18-371, PO#2935481E	22,997				
	Pass-Through	93.350	WU-19-315; PO2934992G	23,206				
	Pass-Through	93.350	WU-19-331	44,491				
	Pass-Through	93.350	WU-19-388	38,878				
	Pass-Through	93.350	WU-20-415; PO 2941690H	2,396				
	Pass-Through	93.350	WU-20-417; PO 2941692H	10,000	10,000			
Total for CFDA Number 93.350				215,598	10,000			
Research Infrastructure Programs	Pass-Through	93.351	1S100D025246-01A1	244,354				
Cancer Cause and Prevention Research	Pass-Through	93.393	OSP2018129	6,131				
	Pass-Through	93.393	WU-20-88; PO2941515H	14,859				
Total for CFDA Number 93.393				20,990				
Cancer Detection and Diagnosis Research	Pass-Through	93.394	1R01CA237149-01A1	15,635				
Cancer Treatment Research	Pass-Through	93.395	308801	1,637				
	Pass-Through	93.395	1R01CA237263-01	43,116				
	Pass-Through	93.395	AWARD# WE-19-120, PO#2934538G	13,999				
Total for CFDA Number 93.395				58,752				
Cancer Biology Research	Pass-Through	93.396	1R01CA238705-01A1	234,880				
	Pass-Through	93.396	1R01CA242188-01A1	15,635				
Total for CFDA Number 93.396				250,515				
Opioid STR	Pass-Through	93.788	SUBAWARD# 00066028-4	25,321				
	Pass-Through	93.788	SUBAWARD# 00068974-4	116,383				
Total for CFDA Number 93.788				141,704				
Cardiovascular Diseases Research	Pass-Through	93.837	PS #107223	13,408				
	Pass-Through	93.837	A03-9006	15,430				
	Pass-Through	93.837	A03-9033	29,820				
	Pass-Through	93.837	AMEND #1, SUB A03-9006	45,958				
	Pass-Through	93.837	EPM 6718	5,083				
	Pass-Through	93.837	SUB #203-7680	(1,823)				
	Pass-Through	93.837	1R01HL147821-01	332,835				
	Pass-Through	93.837	4R00HL136658-03	238,857				
	Pass-Through	93.837	321329	18,952				
Total for CFDA Number 93.837				698,520				
Blood Diseases and Resources Research	Pass-Through	93.839	1R01HL150146-01	178,612				
	Pass-Through	93.839	2R01HL049413-24A1	20,379				
	Pass-Through	93.839	321336	4,370				
	Pass-Through	93.839	SUB0972 PO1143033 PROJ825827	67,307				
	Pass-Through	93.839	SUB# 0463, PO#898082	(38)				
	Pass-Through	93.839	WU-18-5	184,443				
Total for CFDA Number 93.839				455,073				
Translation and Implementation Science Research for Heart, Lung, Blood Diseases, and Sleep Disorders	Pass-Through	93.840	819CH0505	40				
	Pass-Through	93.840	819CHS0508	3,672				
	Pass-Through	93.840	819CHS05010	53,674				
Total for CFDA Number 93.840				57,386				
Arthritis, Musculoskeletal and Skin Diseases Research	Pass-Through	93.846	SUBAWARD #805-2-01, AMD #1	15,503				
Diabetes, Digestive, and Kidney Diseases Extramural Research	Pass-Through	93.847	SUBAWARD # 17-D10	193,094				
	Pass-Through	93.847	SUBAWARD #16-D10	(682)				
	Pass-Through	93.847	AMD #1 SUB# 2004407481	138,570				
	Pass-Through	93.847	AMEND#2, SUB#2003862778	1,603				
	Pass-Through	93.847	SUB 2003726644 AMD3	55,135				
	Pass-Through	93.847	1R01DK125048-01	35,892				
	Pass-Through	93.847	SUBAWARD NO. 11305SC	76,935				
	Pass-Through	93.847	SUBAWARD # 700198-0620-00	76				
	Pass-Through	93.847	WU-15-130-MOD 3, PO #2922554Y	30,096				
Total for CFDA Number 93.847				530,719				

**SAINT LOUIS UNIVERSITY**  
 Schedule of Expenditures of Federal Awards  
 Year Ended June 30, 2020

Grant/Program Title Pass-Through Entity	Payment Source	CFDA Number	Award Number	FY2020 Expenditures	Awards Passed Through to Subrecipients	Subtotal By Program	Subtotal By Agency	Subtotal By Cluster/ Other Programs
Extramural Research Programs in the Neurosciences and Neurological Disorders	Pass-Through	93.853	00001565	\$ 674				
	Pass-Through	93.853	0000959963	25,935				
	Pass-Through	93.853	0001002907 - PROJECT 218035	43,341				
	Pass-Through	93.853	SUBAWARD NO. RC10845	72,944				
	Pass-Through	93.853	1R01NS114289-01	61,815				
	Pass-Through	93.853	1R56NS105806-01A1	193,653				
	Pass-Through	93.853	SUBAWARD NO. 11486SC	25,324				
	Pass-Through	93.853	01785-138392	42				
	Pass-Through	93.853	AMD 2, SUB UFDSP00012212	37,024				
	Pass-Through	93.853	WU-19-127	1,949				
	Pass-Through	93.853	WU-20-118	16,716				
Total for CFDA Number 93.853				479,417				
Allergy and Infectious Diseases Research	Pass-Through	93.855	ERS #21367	1,065				
	Pass-Through	93.855	16-S06	15,228				
	Pass-Through	93.855	PO #2004292677	22,442				
	Pass-Through	93.855	PTCL 01	6,239				
	Pass-Through	93.855	PTCL 02	86,252				
	Pass-Through	93.855	PTCL 02 MOD 01	30,846				
	Pass-Through	93.855	1F31AI152460-01	6,873				
	Pass-Through	93.855	1R01AI148362-01	151,614				
	Pass-Through	93.855	1R21AI137533-01A1	10,499				
	Pass-Through	93.855	1UM1AI148685-01	178,979				
	Pass-Through	93.855	3UM1AI48685-01S1	304,953	15,176			
	Pass-Through	93.855	500599	43,472				
	Pass-Through	93.855	#5231-SLU-DHHS-5818	6,054				
	Pass-Through	93.855	AMD #1, SUB #2018-27	184,167				
	Pass-Through	93.855	AMD3,SUB2015-10,PO4000430479	1,839				
	Pass-Through	93.855	321303	10,188				
	Pass-Through	93.855	115541778	147,359				
	Pass-Through	93.855	33041SUB52631	50,455				
	Pass-Through	93.855	33442SUB52789	240,658				
	Pass-Through	93.855	WU-14-358-MOD1	3,349				
	Pass-Through	93.855	WU-16-324-MOD-3	97,007				
Total for CFDA Number 93.855				1,599,538	15,176			
Biomedical Research and Research Training	Pass-Through	93.859	1R01GM133967-01	293,254				
	Pass-Through	93.859	2R01GM115553-05	241,895				
	Pass-Through	93.859	7R01GM130746-02	211,128				
	Pass-Through	93.859	60053935	9,679				
	Pass-Through	93.859	H005083801	106,046				
	Pass-Through	93.859	WU-19-139	52,509				
Total for CFDA Number 93.859				914,511				
Child Health and Human Development Extramural Research	Pass-Through	93.865	SUBAWARD NO. 136785	10,660				
	Pass-Through	93.865	5UG3HD096929-02	312,099	223,844			
	Pass-Through	93.865	SUB# WU-19-339 PO#2941028H	15,399				
	Pass-Through	93.865	WU- ; PO	2,429				
	Pass-Through	93.865	WU-19-335; PO	727				
	Pass-Through	93.865	WU-20-186 PO2941048H	9,555				
	Pass-Through	93.865	WU-20-186-MOD-1 PO2941048H	47,094				
Total for CFDA Number 93.865				397,963	223,844			
Aging Research	Pass-Through	93.866	SUBAWARD #761815-004	36,182				
	Pass-Through	93.866	SUBAWARD#	(409)				
	Pass-Through	93.866	2R44AG049548-02A1	52,658				
	Pass-Through	93.866	5643-SLU-DHHS-4425	13,346				
	Pass-Through	93.866	SUB# BW29-SLU-6	46,006				
	Pass-Through	93.866	SUBAWARD NO. 818-1-01	140,425				
	Pass-Through	93.866	64946772	21,620				
	Pass-Through	93.866	68213713, AMEND 4, PO 9001267	23,791				
Total for CFDA Number 93.866				333,619				



**SAINT LOUIS UNIVERSITY**  
 Schedule of Expenditures of Federal Awards  
 Year Ended June 30, 2020

Grant/Program Title Pass-Through Entity	Payment Source	CFDA Number	Award Number	FY2020 Expenditures	Awards Passed Through to Subrecipients	Subtotal By Program	Subtotal By Agency	Subtotal By Cluster/ Other Programs
Vision Research	Pass-Through	93.867	SUBAWARD #17318-00	\$ 30,312				
	Pass-Through	93.867	321151	3,972				
	Pass-Through	93.867	JAEB-PEDIG 1XT1	28				
	Pass-Through	93.867	SUB # 0054645 (129454-1), AMD2	113,197				
Total for CFDA Number 93.867				147,509				
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease	Pass-Through	93.912	ERS# 22988-44721	40,616				
Maternal and Child Health Services Block Grant to the States	Pass-Through	93.918	WU-19-406	27,868				
	Pass-Through	93.994	321245	25,805				
	Pass-Through	93.994	SUB 60048464 SLU	(1,242)				
	Pass-Through	93.994	SUB 60052420 STL	10,089				
Total for CFDA Number 93.994				34,652				
Subtotal for Department of Health and Human Services-Pass-Through				9,642,912	261,081			
Total for Department of Health and Human Services					2,715,943		23,830,267	
Total Research and Development Cluster					3,556,132			31,110,419
TRIO Cluster								
TRIO_Student Support Services	Direct	84.042	P042A151598	293,096				
TRIO_Talent Search	Direct	84.044	P044A160669	257,988				
TRIO_McNair Post-Baccalaureate Achievement	Direct	84.217	P127A170146	223,731				
Total for TRIO Cluster				774,815				774,815
Other Programs								
66 Environmental Protection Agency								
Environmental Workforce Development and Job Training Cooperative Agreements	Pass-Through	66.815	320968	21,428				
	Pass-Through	66.815	320706	(1,210)				
Subtotal for Environmental Protection Agency -Pass-Through				20,218				
Total for CFDA Number 66.815						20,218		
Total for Environmental Protection Agency							20,218	
84 Department of Education								
COVID-19 - Education Stabilization Fund - Higher Education Emergency Relief Fund - Student Aid Portion	Direct	84.425E	P425E200579 - 20A	2,570,500				
COVID-19 - Education Stabilization Fund - Higher Education Emergency Relief Fund - Institutional Portion	Direct	84.425F	P425F205034 - 20A	2,570,542				
Subtotal for Department of Education -Direct				5,141,042				
Total for CFDA Number 84.425						5,141,042		
Total for Department of Education							5,141,042	
93 Department Of Health And Human Services								
Maternal and Child Health Federal Consolidated Programs	Direct	93.110	1 T76MC353690100	5,748				
Subtotal for Department of Health And Human Services -Direct				5,748				
Total for CFDA Number 93.110						5,748		
Nursing Workforce Diversity	Direct	93.178	D19HP30852	468,327	81,053			
Subtotal for Department of Health And Human Services -Direct				468,327	81,053			
Total for CFDA Number 93.178						468,327		
Mental and Behavioral Health Education and Training Grants	Direct	93.732	T98HP33470-01-00	295,593	6,499			
Subtotal for Department of Health And Human Services -Direct				295,593	6,499			
Total for CFDA Number 93.732						295,593		

**SAINT LOUIS UNIVERSITY**  
Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2020

Grant/Program Title Pass-Through Entity	Payment Source	CFDA Number	Award Number	FY2020 Expenditures	Awards Passed Through to Subrecipients	Subtotal By Program	Subtotal By Agency	Subtotal By Cluster/ Other Programs
Grants for Primary Care Training and Enhancement	Direct	93.884	T0BHP30018	\$ 380,039	167,070			
Subtotal for Department of Health And Human Services -Direct				380,039	167,070			
Total for CFDA Number 93.884						380,039		
HIV Emergency Relief Project Grants	Direct	93.914	HD CONTRACT #19-33	188,809				
	Direct	93.914	HD19-45	117,631				
Subtotal for Department of Health And Human Services -Direct				306,440				
HIV Emergency Relief Project Grants	Pass-Through	93.914	321305	45,224				
	Pass-Through	93.914	HD CONTRACT #20-XX (UPDATE FEA	85,799				
Subtotal for Department of Health And Human Services -Pass-Through				131,023				
Total for CFDA Number 93.914						437,463		
PPHF Geriatric Education Centers	Direct	93.969	4 U1HP28716-03-02	65,911	64,209			
	Direct	93.969	U1HP28716-04-00	621,651	153,372			
Subtotal for Department of Health And Human Services -Direct				687,562	217,581			
Total for CFDA Number 93.969						687,562		
Maternal and Child Health Services Block Grant to the States	Direct	93.994	HRSA-SRTR	95,968				
Subtotal for Department of Health And Human Services -Direct				95,968				
Maternal and Child Health Services Block Grant to the States	Pass-Through	93.994	HRSA-SRTR	27,060				
	Pass-Through	93.994	CS171489002	(722)				
Subtotal for Department of Health And Human Services -Pass-Through				26,338				
Total for CFDA Number 93.994						122,306		
Hennepin Healthcare Research Institute	Pass-Through	93.UNK	AMD2 SUB HHSH25020150009C	908				
	Pass-Through	93.UNK	AMD3 SUB HHSH25020150009C	1,733				
Subtotal for Department of Health And Human Services -Pass-Through				2,641				
Total for CFDA Number 93.UNK						2,641		
Area Health Education Centers	Pass-Through	93.107	322-375	6,950				
	Pass-Through	93.107	323-375	35,961				
Subtotal for Department of Health And Human Services -Pass-Through				42,911				
Total for CFDA Number 93.107						42,911		
Coordinated Services and Access to Research for Women, Infants, Children, and Youth	Pass-Through	93.153	SUB#WU-19-97, PO#2934302G	840				
	Pass-Through	93.153	WU-19-82	17,115				
	Pass-Through	93.153	WU-20-92	86,710				
Subtotal for Department of Health And Human Services -Pass-Through				104,665				
Total for CFDA Number 93.153						104,665		
Innovative State and Local Public Health Strategies to prevent and Manage Diabetes and Heart Disease and Stroke	Pass-Through	93.435	WU-20-95	14,764				
Subtotal for Department of Health And Human Services -Pass-Through				14,764				
Total for CFDA Number 93.435						14,764		
Public Health Training Centers Program	Pass-Through	93.516	S00588-01	28,115				
	Pass-Through	93.516	S00588-02	86,866				
Subtotal for Department of Health And Human Services -Pass-Through				114,981				
Total for CFDA Number 93.516						114,981		
Preventive Health and Health Services Block Grant funded solely with Prevention and Public Health Funds (PPHF)	Pass-Through	93.758	DH190039001	9,899				
Subtotal for Department of Health And Human Services -Pass-Through				9,899				
Total for CFDA Number 93.758						9,899		

**SAINT LOUIS UNIVERSITY**  
Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2020

<u>Grant/Program Title Pass-Through Entity</u>	<u>Payment Source</u>	<u>CFDA Number</u>	<u>Award Number</u>	<u>FY2020 Expenditures</u>	<u>Awards Passed Through to Subrecipients</u>	<u>Subtotal By Program</u>	<u>Subtotal By Agency</u>	<u>Subtotal By Cluster/ Other Programs</u>
Vision Research	Pass-Through	93.867	321251	\$ 4,314				
Subtotal for Department of Health And Human Services -Pass-Through				4,314				
Total for CFDA Number 93.867						4,314		
Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations	Pass-Through	93.898	ERS16120083	35,348				
Subtotal for Department of Health And Human Services -Pass-Through				35,348				
Total for CFDA Number 93.898						35,348		
Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease	Pass-Through	93.918	WU-19-406	45,906				
	Pass-Through	93.918	WU-20-XX UPDATE WITH FEA	41,946				
Subtotal for Department of Health And Human Services -Pass-Through				87,852				
Total for CFDA Number 93.918						87,852		
Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs	Pass-Through	93.919	ERS16118072	5,468				
	Pass-Through	93.919	ERS16119079	21,999				
Subtotal for Department of Health And Human Services -Pass-Through				27,467				
Total for CFDA Number 93.919						27,467		
Total for Department of Health and Human Services						472,203	2,841,880	
Total Other Programs						472,203		8,003,140
Grand Total						<u>\$ 4,028,335</u>		<u>\$ 173,319,711</u>

See accompanying independent auditors' report and notes to schedule of expenditures of federal awards.

## SAINT LOUIS UNIVERSITY

### Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2020

#### **(1) Summary of Significant Accounting Policies and Basis of Presentation**

The accompanying Schedule of Expenditures of Federal Awards (the Schedule) summarizes the expenditures of Saint Louis University (the University) under programs funded by the federal government for the year ended June 30, 2020. The information in this schedule is presented in accordance with the requirements of Title 2 U.S. Code of Federal Regulations Part 200 Uniform Guidance Administration Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance). The Schedule has been prepared on the accrual basis of accounting. Because the Schedule presents only a selected portion of the operations of the University, it is not intended to and does not present the financial position or changes in net assets of the University.

For purposes of the Schedule, federal awards include all grants and contracts entered into directly between the University and agencies and departments of the federal government, as well as federal funds passed through to the University by other recipients.

#### **(2) Indirect Cost Rates**

Expenditures for federal programs are recognized using the cost accounting principles contained in the Uniform Guidance, Subpart E-Cost Principles. Under those cost principles, certain types of expenditures are not allowable or are limited as to reimbursement. Expenditures include a portion of costs associated with general University activities (facilities and administrative costs or indirect costs), which are allocated to federal awards under negotiated formulas commonly referred to as facilities and administrative cost rates. Facilities and administrative costs allocated to such awards for the year ended June 30, 2020, were based on predetermined fixed rates up to 51.5% negotiated with the University's cognizant federal agency, the U.S. Department of Health and Human Services, and are included as a component of the expenditures in the Schedule. The University has not elected to use a 10% de minimis cost rate provided for in the Uniform Guidance.

**SAINT LOUIS UNIVERSITY**

Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2020

**(3) Loan Programs**

The University participates in various loan programs. Certain loan programs are considered to be a component of the student financial assistance cluster. The following schedule represents loans outstanding of the University as of June 30, 2020:

	<b>CFDA number</b>	<b>Outstanding balance</b>
Federal Perkins Loan Program	84.038	\$ 9,461,066
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students	93.342	302,896
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students	93.342	1,236,422
Nursing Student Loans	93.364	626,461
Nurse Faculty Loan Program	93.264	203,385
Nurse Faculty Loan Program	93.264	16,436
Total – Student Financial Assistance Cluster Loans Outstanding		\$ 11,846,666

The following schedule represents loans disbursed by the University for the year ended June 30, 2020:

	<b>CFDA number</b>	<b>Loans disbursed</b>
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students	93.342	\$ 20,000
Nursing Student Loans	93.364	75,188
Nurse Faculty Loan Program	93.264	10,477
Total – Student Financial Assistance Cluster Loans Disbursed		\$ 105,665

The University claimed an administrative cost allowance of \$163,395 on its Federal Work Study Program during 2020, which is included in the amounts on the Schedule.

**(4) Donated Personal Protective Equipment (Unaudited)**

The University did not receive donated personal protective equipment from federal assistance during the fiscal year ended June 30, 2020.

**SAINT LOUIS UNIVERSITY**  
Schedule of Findings and Questioned Costs  
Year ended June 30, 2020

**(1) Summary of Auditors' Results**

- (a) Type of report issued on whether the consolidated financial statements were prepared in accordance with generally accepted accounting principles: **Unmodified**
- (b) Internal control deficiencies over financial reporting disclosed by the audit of the consolidated financial statements:
  - Material weaknesses: **No**
  - Significant deficiencies: **None Reported**
- (c) Noncompliance material to the consolidated financial statements: **No**
- (d) Internal control deficiencies over major programs disclosed by the audit:
  - Material weaknesses: **No**
  - Significant deficiencies: **Yes**
- (e) Type of report issued on compliance for major programs: **Unmodified**
- (f) Audit findings that are required to be reported in accordance with 2 CFR 200.516(a): **Yes**
- (g) Major programs:
  - Research and Development Cluster: Various CFDA numbers
  - Student Financial Assistance Cluster: Various CFDA numbers
  - CFDA No. 84.425 – U.S. Department of Education – COVID-19 Education Stabilization Fund – Higher Education Emergency Relief Fund
- (h) Dollar threshold used to distinguish between Type A and Type B programs: **\$1,196,651**
- (i) Auditee qualified as a low-risk auditee: **Yes**

**(2) Findings Relating to the Financial Statements Reported in Accordance with *Government Auditing Standards***

None

**SAINT LOUIS UNIVERSITY**  
Schedule of Findings and Questioned Costs  
Year ended June 30, 2020

**(3) Findings and Questioned Costs Relating to Federal Awards**

**Finding 2020-001**

**Federal Program Title** – COVID-19 - Education Stabilization Fund - Higher Education Emergency Relief Fund – Student Aid Portion

**CFDA No.** – 84.425E

**Federal Agency** – U.S. Department of Education – Direct Program

**Federal Award Numbers** – Not Applicable

**Grant Award Period** – April 9, 2020 through April 8, 2021

**Compliance Requirement** – Reporting

*Criteria:*

As set forth through guidance posted by the U.S. Department of Education (DOE), on May 6, 2020 and updated on September 1, 2020 at <https://fsapartners.ed.gov/knowledge-center/library/electronic-announcements/2020-05-06/higher-education-emergency-relief-fund-reporting-emergency-financial-aid-grants-students-updated-sept-1-2020>, the following information must appear in a format and location that is easily accessible to the public, 30 days after the date of the institution's Certification and Agreement with DOE under 18004(a)(1) and updated every 45 days thereafter:

- 1) An acknowledgement that the institution signed and returned to the DOE the Certification and Agreement and the assurance that the institution has used, or intends to use, no less than 50 percent of the funds received under Section 18004(a)(1) of the CARES Act to provide Emergency Financial Aid Grants to students.
- 2) The total amount of funds that the institution will receive or has received from the DOE pursuant to the institution's Certification and Agreement [for] Emergency Financial Aid Grants to Students.
- 3) The total amount of Emergency Financial Aid Grants distributed to students under Section 18004(a)(1) of the CARES Act as of the date of submission (i.e., as of the 30-day Report and every 45 days thereafter).
- 4) The estimated total number of students at the institution eligible to participate in programs under Section 484 in Title IV of the Higher Education Act of 1965 and thus eligible to receive Emergency Financial Aid Grants to students under Section 18004(a)(1) of the CARES Act.
- 5) The total number of students who have received an Emergency Financial Aid Grant to students under Section 18004(a)(1) of the CARES Act.
- 6) The method(s) used by the institution to determine which students receive Emergency Financial Aid Grants and how much they would receive under Section 18004(a)(1) of the CARES Act.
- 7) Any instructions, directions, or guidance provided by the institution to students concerning the Emergency Financial Aid Grants.

**SAINT LOUIS UNIVERSITY**  
Schedule of Findings and Questioned Costs  
Year ended June 30, 2020

In addition, 2 CFR 200.303 requires nonfederal entities to, among other things, establish and maintain effective internal control over the Federal award that provides reasonable assurance that the non-Federal entity is managing the Federal award in compliance with Federal statutes, regulations, and the terms and conditions of the Federal award.

*Conditions Found:*

The University did not have an effective system of internal control in place to ensure the Section 18004(a)(1) student aid portion for the quarterly public reports were being posted timely and accurately. While performing audit procedures related to reporting, the following conditions were identified for one of the two total reports related to fiscal year 2020:

- The report was not posted to the University's website by the required posting date.
- The total amount of emergency financial aid grants distributed to students was understated by \$3,300.
- The total number of students who received an emergency financial aid grant was understated by four students.

*Questioned Cost:*

Questioned costs are not determinable.

*Context:*

The University is required to publicly post certain information on their website no later than 30 days after the date of the institution's Certification and Agreement with DOE, and update that information every 45 days thereafter (by posting a new report) in relation to Section 18004(a)(1). The University did not post to the University's website an update of certain information within 45 days after the initial public posting. In addition, the information included within the public posting did not contain an accurate total amount of emergency federal aid grants distributed to students or total number of students who received an emergency financial aid grant.

*Cause and Effect:*

The University did not have an effective system of internal control to ensure the required reports posted to the University's website were publicly posted within the required timeframe and that the information included within the required reports was accurate. As a result, one of the total of two Section 18004(a)(1) reports, were not publicly posted within the required timeframe and the public posting did not contain an accurate total amount of emergency federal aid grants distributed to students or total number of students who received an emergency financial aid grant.

*Repeat Finding:*

A similar finding was not reported in prior year audit.

*Statistical Sampling:*

The sample was not intended to be, and was not, a statistically valid sample.



**SAINT LOUIS UNIVERSITY**  
Schedule of Findings and Questioned Costs  
Year ended June 30, 2020

*Recommendations:*

We recommend the University enhance its internal control process to ensure that the University has effective internal controls in place to ensure the required reports are publicly posted within the required timeframe and that the information included within the required reports is accurate as required by DOE.

*View of Responsible Official:*

The University concurs the reporting requirements were late in being defined and confusing with guidance for public posting as well as use of the Federal Funding Accountability and Transparency Act of 2006 (FFATA), Pub.L. 109-282, as amended by the Digital Accountability and Transparency Act (DATA Act), Pub.L. 113-101 to fulfill requirements.

An error did occur when a report summary updated after May 22, 2020 was not pulled to update, rather the same report added to the web a second time. This has been corrected and CARES/HEERF I funding marked as final.

Contact Name: Cari Wickliffe, Assistant Vice President

Contact Telephone Number: 314-977-2353

**SAINT LOUIS UNIVERSITY**  
Schedule of Findings and Questioned Costs  
Year ended June 30, 2020

**Finding 2020-002**

**Federal Program Title** – Student Financial Assistance Cluster – Federal Pell Grant Program and Federal Direct Student Loans

**CFDA No.** – 84.063, 84.268

**Federal Agency** – U.S. Department of Education – Direct Program

**Federal Award Numbers** – Not Applicable

**Grant Award Period** – July 1, 2019 through June 30, 2020

**Compliance Requirement** – Special Tests and Provisions – Enrollment Reporting

*Criteria:*

As set forth in 34 CFR Section 685.309(b)(2), participating schools in the Direct Loan Program are to notify the Secretary of Education if a Direct Loan had been made to or on behalf of a student who enrolled at the school but ceased to be enrolled on at least half-time basis or has or has been accepted for enrollment at the school but failed to enroll on at least a half-time basis for the period which the loan was intended. In addition, 2 CFR 200.303 requires nonfederal entities to, among other things, establish and maintain effective internal control over the Federal award that provides reasonable assurance that the non-Federal entity is managing the Federal award in compliance with Federal statutes, regulations, and the terms and conditions of the Federal award.

*Conditions Found:*

The University did not have an effective system of internal control in place to ensure students enrollment status, for those who withdrew from the University during the fiscal year, were properly reported to the National Student Loan Data System (NSLDS) within 60 days of the change. While performing testwork over enrollment reporting, for 4 out of 42 students selected that withdrew from the University during fiscal year 2020, the enrollment status was not reported to the NSLDS within 60 days of the change.

- For 3 out of a total population of 10 students that had a backdated withdraw during fiscal year 2020, the enrollment status was not reported to the NSLDS within 60 days of the change.
- For 1 out of 32 students selected that withdrew, the enrollment status was not reported to the NSLDS within 60 days of the change during fiscal year 2020.

*Questioned Cost:*

Questioned costs are not determinable.

**SAINT LOUIS UNIVERSITY**  
Schedule of Findings and Questioned Costs  
Year ended June 30, 2020

*Context:*

For 4 out of 42 students selected for testwork that withdrew from the University during fiscal year 2020, the University did not properly report to the NSLDS the enrollment status of the student within 60 days. For 3 out of a total population of 10 students selected that had a backdated withdraw and for 1 out of 32 students selected that had a withdraw during fiscal year 2020, the enrollment status was not reported to the NSLDS within 60 days of the change. The total population of students that withdrew during fiscal year 2020 was approximately 160 students.

*Cause and Effect:*

University management indicated the University did not have an effective system of internal control in place, as prescribed by 2 CFR 200.303, to ensure that the data being input into the NSLDS system was submitted within 60 days for students that withdrew during fiscal year 2020. As a result, 4 out of 42 students selected for testwork were not reported to the NSLDS within 60 days of the change.

*Repeat Finding:*

A similar finding was not reported in prior year audit.

*Statistical Sampling:*

The sample was not intended to be, and was not, a statistically valid sample.

*Recommendations:*

We recommend the University enhance its internal control process to ensure the University has effective internal controls in place to ensure that accurate enrollment status data is being submitted for students who withdraw, as set forth in 34 CFR Section 685.309(b)(2).

*View of Responsible Official:*

The University concurs enhancement to internal controls should be put in place. The errors took place during a staffing transition at which time staff did not have full access to immediately update in NSLDS. That access was updated shortly afterwards with processing to include direct manual update on any backdated withdrawals. The process for calculating and reviewing is now collaboration of two managerial staff persons.

The backdated enrollment changes are primarily vetted through the Academic Records Review Committee (ARRC). This group is chaired by the Associate Provost and University Registrar. The compliance coordinator will join that group to be part of any discussions.

Contact Name: Cari Wickliffe, Assistant Vice President

Contact Telephone Number: 314-977-2353